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1 **IN THE COURT OF APPEALS OF THE STATE OF NEW MEXICO**

Court of Appeals of New Mexico
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Mark Reynolds

4 **No. A-1-CA-36798**

5 **STATE OF NEW MEXICO,**

6 Plaintiff-Appellee,

7 v.

8 **ROGER WARFORD,**

9 Defendant-Appellant.

10 **APPEAL FROM THE DISTRICT COURT OF CURRY COUNTY**

11 **Fred T. Van Soelen, District Judge**

12 Hector H. Balderas, Attorney General
13 Eran Sharon, Assistant Attorney General
14 Santa Fe, NM

15 for Appellee

16 Lindsey Law Firm, LLC
17 Daniel R. Lindsey
18 Clovis, NM

19 for Appellant

1 **OPINION**

2 **MEDINA, Judge.**

3 {1} After conditionally pleading guilty to driving while under the influence of
4 intoxicating liquor or drugs (DWI), contrary to NMSA 1978, § 66-8-102(A) (2010,
5 amended 2016)¹, Roger Warford (Defendant) appeals the district court’s denial of
6 his motion to exclude the results of a blood draw performed pursuant to the Implied
7 Consent Act, NMSA 1978, §§ 66-8-105 to -112 (1978, as amended through 2019).
8 Defendant argues the phlebotomist who drew his blood was not authorized to do so
9 because, according to Defendant, a phlebotomist is not a laboratory technician under
10 NMSA 1978, § 66-8-103 (1978) and the phlebotomist in this case was not employed
11 by a hospital because her direct employer was a laboratory that contracted with the
12 hospital where she worked. We conclude, consistent with our Supreme Court’s
13 recent decision in *State v. Adams*, 2022-NMSC-008, 503 P.3d 1130, that
14 phlebotomists who have adequate training and experience are qualified as laboratory
15 technicians to perform legal blood draws under the Implied Consent Act so long as
16 they were employed to do so by a hospital or physician. *Id.* ¶ 1. We further conclude
17 that, given the facts and circumstances presented in this case, the phlebotomist who

¹Section 66-8-102(D)(3) was held unconstitutional by this Court in *State v. Storey*, 2018-NMCA-009, ¶ 32, 410 P.3d 256. That subsection refers to aggravated DWI, which is not at issue here, and *Storey* did not affect the constitutionality of the subsections we reference in this opinion.

1 drew Defendant's blood was employed by a hospital. Finally, we conclude that
2 Defendant's additional argument that there was insufficient evidence to support the
3 enhancement of his DWI conviction is without merit. We affirm.

4 **BACKGROUND**

5 {2} In January 2015, Defendant drove into a motel parking lot and parked next to
6 a vehicle in which two police officers were conducting surveillance of a motel room
7 pending receipt of a search warrant. Defendant stepped out of his truck, staggered to
8 the passenger side of the officers' vehicle, and asked them if they were police
9 officers. Defendant then walked towards the hotel, went upstairs, and approached
10 the room the officers intended to search. The officers prevented Defendant from
11 entering the room. Defendant had bloodshot and watery eyes, an odor of alcohol on
12 his breath, and slurred speech. He also had two sixteen-ounce beer cans, one half
13 empty and one unopened, in his jacket. Defendant admitted to having consumed five
14 to six sixteen-ounce beers.

15 {3} A uniformed officer was summoned to investigate Defendant for DWI.
16 Defendant failed standardized field sobriety tests and was arrested for DWI.
17 Defendant agreed to a blood test and was transported to the Plains Regional Medical
18 Center (PRMC), a hospital in Clovis, where Mirna Gaxiola, a certified phlebotomist,
19 drew Defendant's blood for testing.

1 {4} Defendant was charged with DWI (4th Offense). Defendant moved to exclude
2 his blood test results. Defendant asserted the evidence was insufficient to
3 demonstrate the testing was conducted in accordance with the Implied Consent Act,
4 under Section 66-8-103, which provides that “[o]nly a physician, licensed
5 professional or practical nurse or laboratory technician or technologist employed by
6 a hospital or physician shall withdraw blood from any person in the performance of
7 a blood-alcohol test.” *Id.*; see also § 66-8-109(A) (“Only the persons authorized by
8 Section 66-8-103 . . . shall withdraw blood from any person for the purpose of
9 determining its alcohol or drug content.”).

10 {5} The State responded that under Section 66-8-103, laboratory technicians are
11 included in the categories of approved medical personnel authorized to draw blood
12 under Section 66-8-109 and that under 7.33.2.15(A)(1) NMAC, the term laboratory
13 technician includes phlebotomists. From this, the State argued that Gaxiola was
14 authorized to draw Defendant’s blood under Section 66-8-103 because she attended
15 and completed a Phlebotomy Technique Training course at Eastern New Mexico
16 University, and upon completion was certified as a Phlebotomy Technician.

17 {6} During a hearing on the motion, Defendant argued the district court was
18 required to exclude the blood test because Gaxiola did not fall into any of the
19 statutory categories, and therefore was not qualified to conduct the test. Defendant
20 provided the district court with a copy of a recorded pretrial interview he conducted

1 of Gaxiola in which she stated she was a certified phlebotomist, not a licensed
2 professional, laboratory technologist or technician, or hospital employee.

3 {7} At a later hearing, the district court denied Defendant’s motion to exclude,
4 explaining that, despite Gaxiola’s statements, based on its own legal research and
5 given Gaxiola’s status as a phlebotomist for TriCore Laboratory (TriCore), which
6 contracts with the hospital to perform all of the hospital’s blood services, she “is a
7 technician under the statute employed by the hospital for the purposes of the Implied
8 Consent Act.”

9 {8} Defendant entered a conditional plea of no contest to DWI, reserving the right
10 to appeal the ruling on any motion filed in the case. Defendant then appealed to this
11 Court. After ordering supplemental briefing on two issues,² we certified this case to
12 the New Mexico Supreme Court, as it presented a similar question of statutory
13 construction to six other cases before our Supreme Court.

14 {9} Following acceptance of the certification of this case, our Supreme Court
15 issued an opinion in *Adams*, holding that an emergency medical technician (EMT)
16 who was employed by a hospital or physician and had adequate training and
17 experience in performing blood draws qualified as a “laboratory technician” for the

²The parties were ordered to brief (1) whether Section 66-8-103 requires a laboratory technician to be employed by a hospital or physician; and (2) assuming Gaxiola was a laboratory technician, whether she was employed by a hospital or physician under Section 66-8-103.

1 purposes of Section 66-8-103. *Adams*, 2022-NMSC-008, ¶ 34. Our Supreme Court
2 subsequently quashed certification of this case, returning it to this Court.

3 **DISCUSSION**

4 **I. The District Court’s Denial of the Motion to Exclude the Blood Test** 5 **Results Was Not an Abuse of Discretion**

6 {10} Generally, “[w]e review the [district] court’s decision to exclude or admit
7 evidence for an abuse of discretion.” *State v. Hanson*, 2015-NMCA-057, ¶ 5, 348
8 P.3d 1070. “This case requires us to engage in statutory interpretation to determine
9 what the appropriate foundation is for admitting the results of blood tests to
10 determine the content of alcohol or drugs under the Implied Consent Act. We do so
11 under a de novo standard of review.” *State v. Garcia*, 2016-NMCA-044, ¶ 8, 370
12 P.3d 791.

13 {11} The Implied Consent Act provides in relevant part that “[o]nly the persons
14 authorized by Section 66-8-103 . . . shall withdraw blood from any person for the
15 purpose of determining its alcohol or drug content.” Section 66-8-109(A). Section
16 66-8-103 in turn limits the class of persons who may withdraw blood to the
17 following: “Only a physician, licensed professional or practical nurse or laboratory
18 technician or technologist employed by a hospital or physician shall withdraw blood
19 from any person in the performance of a blood-alcohol test.”

20 {12} On appeal, Defendant contends that the phlebotomist who drew his blood does
21 not qualify as a “laboratory technician,” is not employed by a hospital or physician,

1 and, as a result, was not authorized to perform blood draws under Section 66-8-103.
2 We first address whether the phlebotomist in this case qualified as a laboratory
3 technician. Concluding she does, we next address whether the fact that the
4 phlebotomist was employed by TriCore, and not directly with the hospital, renders
5 her unqualified. We conclude that she was qualified.

6 **A. The Phlebotomist in This Case Qualified as a Laboratory Technician**

7 {13} In light of our Supreme Court’s recent opinion in *Adams* and for the reasons
8 that follow, we hold that the district court correctly concluded that Gaxiola was a
9 laboratory technician under Section 66-8-103.

10 {14} In *Adams*, addressing arguments highly similar to those here, our Supreme
11 Court determined that an EMT is qualified to draw blood as a “laboratory technician”
12 under Section 66-8-103 “so long as they were employed to do so by a hospital or
13 physician and have adequate training and experience.” *Adams*, 2022-NMSC-008,
14 ¶ 1. *Adams* addressed whether this Court’s decision in *Garcia*, which held that the
15 EMT in that case was not authorized to draw blood under Section 66-8-103,
16 precluded all EMTs from being qualified to draw blood under that provision. *See*
17 *Garcia*, 2016-NMCA-044, ¶¶ 1, 21-24. The defendant in *Adams* argued that *Garcia*
18 stood for the proposition that EMTs did not fall under the five enumerated categories
19 of those who may perform blood draws and that the Legislature did not intend to
20 authorize legal blood draws by anyone falling outside those categories. *Adams*,

1 2022-NMSC-008, ¶ 17. Our Supreme Court rejected these contentions. The Court
2 concluded, after examining the dictionary definitions of “laboratory technician,” that
3 the term “laboratory technician” was ambiguous on its face, *id.* ¶¶ 11-15, and then
4 proceeded to examine the legislative purpose of the Implied Consent Act, *see id.*
5 ¶¶ 16-29. As part of this examination, the Court contrasted the facts of *Garcia* with
6 the facts in *Adams*. In *Garcia*, the EMT who performed the blood draw did so
7 improperly and was not trained to perform blood draws for the purposes of the
8 Implied Consent Act. *Adams*, 2022-NMSC-008, ¶¶ 28-29. In contrast, the EMT who
9 performed the blood draw in *Adams* had specifically been trained to perform blood
10 draws “for [the] purposes of determining drug and alcohol content” and one of her
11 job duties was to “perform legal blood-alcohol blood draws at the request of law
12 enforcement personnel.” *Id.* ¶ 31 (alteration, internal quotation marks, and citation
13 omitted). The EMT also had performed thousands of blood draws and performed the
14 contested blood draw in accordance with the instructions in the Scientific Laboratory
15 Division (SLD) kit. *Id.* ¶ 32.

16 {15} Our Supreme Court then held that “EMTs who are employed by a hospital or
17 physician and who possess the proper education and experience” are qualified as
18 laboratory technicians to perform blood draws under Section 66-8-103. *Adams*,
19 2022-NMSC-008, ¶ 34. In so holding, our Supreme Court observed that
20 “[p]rohibiting medical professionals who possess such training in this area from

1 administering blood draws would needlessly impose burdens on the discovery and
2 removal of the intoxicated driver and, thus, thwart the legislative policy.” *Id.*
3 (internal quotation marks and citation omitted).

4 {16} *Adams* informs our analysis in this case. The State argues that Gaxiola is
5 qualified to draw blood under Section 66-8-103 because the Legislature intended
6 that people with her skills and experience should fall within the “laboratory
7 technician” category, noting that SLD’s regulations specifically include
8 “phlebotomists” in the definition of laboratory technicians. *See* 7.33.2.15(A) NMAC
9 (“The term laboratory technician shall include phlebotomists.”); *cf. Adams*, 2022-
10 NMSC-008, ¶ 29 (stating that this Court’s opinion in *Garcia* addressed the EMT’s
11 lack of qualifications to draw blood for the purposes of Section 66-8-103, not
12 whether an EMT with greater experience and training could potentially draw blood
13 under the statute). We agree.

14 {17} The record reflects that Gaxiola, like the EMT in *Adams*, had the requisite
15 training and experience to draw Defendant’s blood. The district court found that
16 Gaxiola, a phlebotomist, was a technician under the statute. Defendant does not
17 contest the finding, which was based on the pretrial interview Defendant submitted
18 to the court. Gaxiola completed a phlebotomy course from Eastern New Mexico
19 University in Portales. Gaxiola stated that upon graduating from the course she
20 received a certificate demonstrating that she was a certified phlebotomist. Soon after

1 graduation, she was hired by TriCore as a Clinical Lab Assistant I to perform blood
2 draws at PRMC. She also received additional training in blood draw procedures once
3 placed at PRMC. *See Adams, 2022-NMSC-008, ¶ 31* (“[The EMT] testified that she
4 was taught how to perform blood draws by other nurses and technicians [at the
5 hospital].”). Gaxiola explained that she was the only clinical lab assistant working
6 during her shifts, which entailed conducting blood draws during morning rounds at
7 the hospital, and stated that she performed approximately fifty blood draws during
8 each of her shifts.

9 {18} Gaxiola also demonstrated knowledge of legal blood draw procedures,
10 including ensuring the SLD kit was sealed and not expired, following the
11 instructions on the kit, and sealing the kit and returning it to the requesting officer.
12 Gaxiola stated that when law enforcement presented her with individuals for implied
13 consent blood draws, she performed those blood draws in a room designated for law
14 enforcement related blood draws. *See Adams, 2022-NMSC-008, ¶ 32* (observing that
15 the EMT could explain the difference between a hospital blood draw and a law
16 enforcement blood draw). And, when officers provided her with SLD blood draw
17 test kits, she only used kits that were sealed and not expired. *See Garcia, 2016-*
18 *NMCA-044, ¶ 4* (“SLD-approved blood draw kits include everything that is needed
19 for a blood draw to ensure continuity and standardization, and to avoid
20 compromising the accuracy and integrity of blood samples.”). She followed the

1 instructions that came with the kit. Once she completed the blood draw, Gaxiola
2 sealed the test kit box and returned it to the requesting officer.

3 {19} Consistent with *Adams*, we conclude that prohibiting phlebotomists—with
4 adequate training and experience to perform legal blood draws—from administering
5 blood draws would thwart the legislative purpose of the Implied Consent Act. 2022-
6 NMSC-008, ¶ 34. We therefore conclude that Gaxiola, who possessed the requisite
7 training and experience to perform blood draws, qualified as a laboratory technician
8 within the meaning of Section 66-8-103, so long as she was employed by a hospital
9 or physician—the matter we turn to next.

10 **B. The Phlebotomist in This Case Was “Employed” by a Hospital Within**
11 **the Meaning of Section 66-8-103³**

12 {20} We next determine whether Gaxiola was an employee of a hospital for the
13 purposes of Section 66-8-103. *See Adams*, 2022-NMSC-008, ¶ 7 (clarifying in part
14 that “in order for a medical professional to qualify as a laboratory technician for the
15 purposes of performing legal blood draws, the person must be employed by a
16 hospital or physician to perform blood draws”). Defendant argues that even if
17 Gaxiola was “deemed a technician or technologist,” she was not qualified to perform

³During the January 30, 2017 hearing, defense counsel argued that Section 66-8-103 required a technician to be employed by a hospital and that Gaxiola was not a hospital employee. The district court later ruled that Gaxiola was an employee of the hospital for purposes of the statute. We therefore reject the State’s contention that this issue was not preserved.

1 his blood draw because she was not employed by a hospital or physician as specified
2 in Section 66-8-103. We disagree and explain.

3 {21} We first observe that, while *Adams* stated that Section 66-8-103 requires a
4 laboratory technician or technologist to be employed by a hospital, *Adams* did not
5 consider what the term “employed” encompassed. *See Adams*, 2022-NMSC-008,
6 ¶¶ 1, 7 (stating that a laboratory technician must be “employed to [draw blood] by a
7 hospital,” but not interpreting the term “employed”). Thus, whether Gaxiola is
8 considered an employee of a hospital for the purposes of Section 66-8-103 is a
9 question of first impression regarding the interpretation of the statute that we review
10 de novo. *See State v. Duhon*, 2005-NMCA-120, ¶ 10, 138 N.M. 466, 122 P.3d 50.

11 {22} “Our primary goal when interpreting statutory language is to give effect to the
12 intent of the [L]egislature.” *State v. Torres*, 2006-NMCA-106, ¶ 8, 140 N.M. 230,
13 141 P.3d 1284. “We first look to the plain meaning of the statutory language.” *State*
14 *v. Farish*, 2021-NMSC-030, ¶ 11, 499 P.3d 622. “When words are not otherwise
15 defined in a statute, we give those words their ordinary meaning absent clear and
16 express legislative intention to the contrary. To do so, we consult common dictionary
17 definitions.” *Adams*, 2022-NMSC-008, ¶ 10 (alteration, internal quotation marks,
18 and citation omitted). “Unless ambiguity exists, [the appellate courts] must adhere
19 to the plain meaning of the language.” *Id.* (internal quotation marks and citation
20 omitted). We, however, will not do so if the plain meaning “leads to an absurd or

1 unreasonable result.” *State v. Marshall*, 2004-NMCA-104, ¶ 7, 136 N.M. 240, 96
2 P.3d 801. “A statute is ambiguous when it can be understood by reasonably well-
3 informed persons in two or more different senses.” *Adams*, 2022-NMSC-008, ¶ 10
4 (internal quotation marks and citation omitted). “If the relevant statutory language
5 is unclear, ambiguous, or reasonably subject to multiple interpretations, then the
6 Court should proceed with further statutory analysis.” *State v. Almanzar*, 2014-
7 NMSC-001, ¶ 15, 316 P.3d 183. In this context, our courts often have turned to the
8 legislative purpose of the Implied Consent Act to discern legislative intent. *See, e.g.*,
9 *Adams*, 2022-NMSC-008, ¶¶ 16-34; *Garcia*, 2016-NMCA-044, ¶ 24; *State v.*
10 *Wiberg*, 1988-NMCA-022, ¶¶ 13-17, 107 N.M. 152, 754 P.2d 529; *State v. Trujillo*,
11 1973-NMCA-076, ¶ 21, 85 N.M. 208, 510 P.2d 1079. “Accordingly, we analyze
12 these statutes not only within the statutory scheme of the Motor Vehicle Code but
13 also within the context of the policy underlying the offense of DWI. The purpose of
14 our DWI legislation is to protect the health, safety, and welfare of the people of New
15 Mexico.” *State v. Johnson*, 2001-NMSC-001, ¶ 6, 130 N.M. 6, 15 P.3d 1233.

16 {23} We first observe that the term “employ” means “[t]o commission and entrust
17 with the performance of certain acts or functions” in addition to its often-used
18 meaning “[t]o hire.” *Employ*, *Black’s Law Dictionary* (11th ed. 2019). Defendant
19 advances a strict adherence to the meaning of employ, advocating that a laboratory
20 technician must be directly employed by a hospital or physician. The State, in

1 contrast, argues that the term should be construed more broadly in light of the
2 purposes of the Implied Consent Act. We agree with the State.

3 {24} We conclude that the term “employ” is ambiguous on its face, in that it can
4 reasonably be understood to have more than one meaning, as both the State and
5 Defendant have argued on appeal. We therefore turn next to the legislative purpose
6 of Section 66-8-103. *See Adams*, 2022-NMSC-008, ¶ 15. We must analyze the term
7 “through the lens of the Legislature’s intended purpose, which [our Supreme Court
8 has] conclude[d] encompasses two goals: (1) to protect patients subject to a blood
9 draw and (2) to ensure the collection of a reliable blood sample for use in DWI
10 prosecutions.” *Id.* ¶ 22. Contrary to Defendant’s argument, requiring a laboratory
11 technician to be directly employed by a hospital or physician is not necessary to
12 achieve these purposes. An examination of the facts and circumstances of this case
13 makes this evident.

14 {25} Upon completing her phlebotomy class, Gaxiola applied for and was hired as
15 a Clinical Lab Assistant I by TriCore in August or September 2014. PRMC
16 contracted with TriCore to perform blood draws. TriCore placed Gaxiola at PRMC
17 to perform the hospital’s blood draws, where she received additional training in
18 PRMC’s blood-draw procedures. Gaxiola worked at PRMC through TriCore for
19 nearly two years. Gaxiola’s explanation of her job duties as a phlebotomist at PRMC
20 shows that PRMC entrusted her with the performance of blood draws during her

1 shifts, even if PRMC did not hire her directly. In sum, the record demonstrates that
2 PRMC contracted with TriCore, who in turn hired Gaxiola, a phlebotomist, to
3 perform legal blood draws, trained her in blood-draw procedures, and determined
4 she was qualified to perform blood draws, including legal blood-draw tests.

5 {26} In light of this record, determining that Gaxiola was an employee of the
6 hospital for the purposes of Section 66-8-103 is consistent with the dual purposes of
7 this provision—i.e., ensuring the safety of Defendant and ensuring the reliability of
8 the blood test. *See Adams*, 2022-NMSC-008, ¶ 34 (“Allowing EMTs who, along
9 with their certification, have the training and experience in the skill of drawing blood
10 to perform legal blood draw tests and who are employed by a hospital or physician
11 to do so, furthers the purpose of the statute to ensure the safety of the patient and the
12 reliability of the blood sample.”). Furthermore, determining that Gaxiola was an
13 employee of PRMC supports Section 66-8-103’s purpose “to deter driving while
14 intoxicated and aid in discovering and removing from the highways the intoxicated
15 driver.” *See Adams*, 2022-NMSC-008, ¶ 34 (internal quotation marks and citation
16 omitted). It is also consistent with this Court’s and our Supreme Court’s previous
17 constructions of Section 66-8-103 “to broaden, not narrow, the category of
18 individuals authorized to draw blood.” *Adams*, 2022-NMSC-008, ¶ 23; *see id.* ¶¶ 23-
19 29 (discussing this Court’s decisions in *Trujillo*, *Wiberg*, and *Garcia*). Holding
20 otherwise—i.e., that Gaxiola was not a hospital employee simply because she was

1 not directly employed by the hospital or a physician but rather employed by TriCore,
2 a contractor used by the hospital to perform blood draws—would “unnecessarily
3 limit the classes of individuals who could assist in furthering the statute’s legislative
4 purpose” and produce the absurd result of disqualifying technicians the hospital
5 trained to perform implied consent blood draws. *See id.* ¶ 27 (internal quotation
6 marks and citation omitted); *Wiberg*, 1988-NMCA-022, ¶ 13 (rejecting a
7 construction of Section 66-8-103 as it would “unnecessarily limit the classes of
8 individuals who could assist in furthering the statute’s legislative purpose” of
9 “aid[ing] in discovering and removing the intoxicated driver from the highways”).
10 Consistent with the legislative purpose of the Implied Consent Act, we interpret the
11 term “employ” in Section 66-8-103 to encompass Gaxiola’s relationship to PRMC,
12 which entrusted her with the performance of legal blood draws. *See Employ, Black’s*
13 *Law Dictionary* (11th ed. 2019); *Adams*, 2022-NMSC-008, ¶ 1 (“[S]uch medical
14 professionals are qualified to draw blood under [Section 66-8-103] so long as they
15 were *employed to do so* by a hospital.” (emphasis added)); *see also Adams*, 2022-
16 NMSC-008, ¶ 34 (“It is the Court’s responsibility to resolve any ambiguity in
17 Section 66-8-103 in a way that supports the legislative purpose to deter driving while
18 intoxicated and aid in discovering and removing from the highways the intoxicated
19 driver.” (internal quotation marks and citation omitted)).

1 {27} Therefore, based on the foregoing, we hold that Gaxiola was qualified, under
2 Section 66-8-103, as a laboratory technician employed by a hospital to perform
3 Defendant’s blood draw, and we affirm the district court’s denial of Defendant’s
4 motion to exclude.

5 **II. The District Court Properly Enhanced Defendant’s DWI Conviction**

6 {28} Defendant next challenges the use of one of his prior DWI convictions to
7 enhance his DWI sentence in this case, arguing the State did not meet its burden in
8 showing that the prior conviction was counseled. For the reasons that follow, we
9 affirm. A person convicted of DWI who has been convicted of previous DWI
10 charges faces enhanced penalties. *See* § 66-8-102(F)-(J) (2010). When prior DWI
11 convictions are used to enhance a defendant’s sentence, “[t]he [s]tate bears the initial
12 burden of establishing a prima facie case of a defendant’s previous convictions.”
13 *State v. Sedillo*, 2001-NMCA-001, ¶ 5, 130 N.M. 98, 18 P.3d 1051. “Proof beyond
14 a reasonable doubt of the prior DWI convictions is not needed.” *Id.* Once a prima
15 facie case is established, “[t]he defendant is then entitled to bring forth contrary
16 evidence. However, the [s]tate bears the ultimate burden of persuasion on the
17 validity of prior convictions.” *Id.* (citation omitted).

18 {29} The State attached a certified copy of an abstract of record from the Motor
19 Vehicle Division of the Taxation and Revenue Department (1991 Abstract) to its
20 enhancement information. The 1991 Abstract documented Defendant’s August 1990

1 DWI arrest, identified a court docket number, and showed that a hearing was held in
2 October 1991; Defendant requested counsel, he entered a plea of guilty, and he
3 received a sentence of ninety days with eighty-three days suspended. In addition to
4 identifying the sentence and fine imposed for the DWI conviction, the “remarks”
5 box on the 1991 Abstract contains the text “P.D. Raina Owen, 620 Roma NW” and
6 is signed by Bernalillo County Metropolitan Court Judge Mark Shapiro.

7 {30} Defendant argued below, as he does on appeal, that the 1991 Abstract did not
8 establish that an attorney was appointed to represent him. The district court reviewed
9 the abstract and stated during a hearing that the name of Raina Owen was located in
10 the 1991 Abstract where the name of defense counsel’s name usually appears.
11 Defense counsel suggested that “P.D.” could stand for police department or
12 probation department and that the address of 620 Roma NW might be the address
13 for the Albuquerque Police Department. The district court took the matter under
14 advisement.

15 {31} In September 2017, the district court issued a letter decision, finding that the
16 1991 Abstract showed Defendant requested counsel and “includes what appears to
17 be the name and address of an attorney, ‘P.D. Raina Owen, 620 Roma NW.’” The
18 district court noted that the defense had not come forward with evidence challenging
19 the validity of Defendant’s 1991 DWI conviction, rejected Defendant’s argument
20 that the notation “P.D. Raina Owen, 620 Roma NW” could have been the address of

1 the police department, and concluded that Defendant’s 1991 prior conviction would
2 be used to enhance Defendant’s sentence.

3 {32} The parties appeared for a sentencing hearing during which defense counsel
4 again asserted that “P.D. Raina Owen 620 Roma NW” might be reference to a
5 “police station” or “some other thing” and objected to the district court’s finding that
6 it was documentation of the attorney who represented Defendant in 1991. The
7 district court reiterated that the defense had not come forward with evidence to
8 challenge the validity of the State’s prima facie evidence of Defendant’s prior DWI
9 conviction, and stated: (1) where the name Raina Owen and the 620 Roma address
10 appear on the abstract is the location the court knows the name of defense counsel is
11 located; and (2) based on that knowledge, the most reasonable explanation is that
12 “P.D.” stands for “public defender.” The district court enhanced Defendant’s DWI
13 conviction with his 1991 DWI conviction.

14 {33} “The burden on making a prima facie case is not onerous on the [s]tate.” *State*
15 *v. Simmons*, 2006-NMSC-044, ¶ 14, 140 N.M. 311, 142 P.3d 899 (discussing
16 habitual offender enhancements). A “prima facie case” is defined as “a party’s
17 production of enough evidence to allow the fact-trier to infer the fact at issue and
18 rule in the party’s favor.” *Yurcic v. City of Gallup*, 2013-NMCA-039, ¶ 29, 298 P.3d
19 500 (alteration, internal quotation marks, and citation omitted). The 1991 Abstract
20 undisputedly contained the following evidence: (1) Defendant’s 1990 arrest in

1 Bernalillo County; (2) Defendant’s request for an attorney; (3) Defendant’s DWI
2 guilty plea; (4) the finding of Defendant’s guilt in 1991; (5) the name and signature
3 of the judge who found him guilty; (6) a hand-written notation of “P.D. Raina Owen,
4 620 Roma NW”; and (7) Defendant’s DWI sentence. The district court used its
5 experience to find the information sufficient to infer that Defendant was represented
6 by counsel, Raina Owen, when he plead guilty to DWI in 1991, because the name
7 Raina Owen appeared on the abstract where the district court expects defense
8 counsel to be identified.⁴ We conclude that the foregoing was sufficient to meet the
9 State’s initial burden of proving its prima facie case of Defendant’s 1991 DWI
10 conviction. *See Sedillo*, 2001-NMCA-001, ¶¶ 8-9.

11 {34} The burden then shifted to Defendant to show that his prior DWI conviction
12 was invalid by demonstrating that the notation “P.D. Raina Owen, 620 Roma NW”
13 does not indicate Defendant was represented by counsel. *See Simmons*, 2006-
14 NMSC-044, ¶ 13 (“[T]he [s]tate must make its prima facie showing, including all of
15 the required elements for a prior felony conviction as defined by the habitual
16 offender statute, and then the burden of proof shifts to the defendant.”). Defendant

⁴Defendant’s unsubstantiated accusation that the district court’s finding was arrived at through independent investigation of facts outside the record, in violation of Rule 21-209(C) NMRA, supplies no basis for relief on appeal. *See State v. Hall*, 2013-NMSC-001, ¶ 28, 294 P.3d 1235 (“It is not our practice to rely on assertions of counsel unaccompanied by support in the record.” (internal quotation marks and citation omitted)).

1 did not do so. Instead, Defendant speculated that the initials “P.D.” could stand for
2 “police department” or “probation department” and that “620 Roma NW” might be
3 the address of the Albuquerque Police Department. Counsel’s speculations are not
4 evidence demonstrating the invalidity of a prior conviction. *See State v. Cordova*,
5 2014-NMCA-081, ¶ 10, 331 P.3d 980 (“[A]rgument of counsel is not evidence.”
6 (internal quotation marks and citation omitted)). To the extent Defendant’s
7 conjectures gave rise to a conflict in the interpretation of the information contained
8 on the 1991 Abstract, the district court, as fact-finder, was entitled to reject
9 Defendant’s interpretation of the evidence. *See Sedillo*, 2001-NMCA-001, ¶ 1.

10 {35} In sum, we conclude that the State met its initial burden of proving a prima
11 facie case of Defendant’s 1991 DWI conviction, and Defendant failed to rebut this
12 showing.

13 **CONCLUSION**

14 {36} For the foregoing reasons, we affirm the district court’s denial of Defendant’s
15 motion to exclude his blood test results and the enhancement of his sentence.

16 {37} **IT IS SO ORDERED.**

17 
18 JACQUELINE R. MEDINA, Judge

1 **WE CONCUR:**

2 

3 **JENNIFER L. ATTREP, Judge**

4 

5 **ZACHARY A. IVES, Judge**